JC10 Rec'd PCT/PTO 16 JUNE 2005 Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 2836-USP-PCT-US

CONC	CERNING A SUBMISSIO	Not yet assisted 2 2 2 0 4 5						
PCT/US	ONAL APPLICATION NO. 04/01584	INTERNATIONAL FILING DATE January 20, 2004	PRIORITY DATE CLAIMED January 24, 2003					
TITLE OF IN	INTERNAL TISSUE RETRACTOR							
APPLICANT(S) FOR DO/EO/US Charles C. Hart								
Applicant h			O/US) the following items and other information:					
C	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2.	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.							
3. X Thi	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. 🗶 Th	ne US has been elected (Article 31).							
5. <b>X</b> A	A copy of the International Application	as filed (35 U.S.C. 371(c)(2))						
ŧ	a. x is attached hereto (required o	only if not communicated by the Internatio	onal Bureau).					
t	b. has been communicated by the	he International Bureau.						
	c. is not required, as the applica	ation was filed in the United States Receiv	ving Office (RO/US).					
6. LJ A	An English language translation of the	International Application as filed (35 U.S.	C. 371(c)(2)).					
á	a. is attached hereto.							
	b. has been previously submitte	ed under 35 U.S.C. 154(d)(4).						
7. <b>X</b> A	Amendments to the claims of the Intern	national Application under PCT Article 19	(35 U.S.C. 371(c)(3))					
•	a. are attached hereto (require	ed only if not communicated by the Interna	ational Bureau).					
1	b. have been communicated by	y the International Bureau.						
•		ver, the time limit for making such amendr	ments has NOT expired.					
_	d. X have not been made and wil	Il not be made.						
8. 🔲 ,	An English language translation of the	amendments to the claims under PCT Ar	rticle 19 (35 U.S.C. 371(c)(3)).					
9. <b>X</b> A	An oath or declaration of the inventor(s	) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the a Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary E	Examination Report under PCT					
	1 to 20 below concern document(s)	or information included:						
11. 🗶 A	An Information Disclosure Statement ur	nder 37 CFR 1.97 and 1.98.						
12. 🗶 A	An assignment document for recording.	. A separate cover sheet in compliance wi	ith 37 CFR 3.28 and 3.31 is included.					
13. 🔲 A	A preliminary amendment.							
14. A	An Application Data Sheet under 37 CF	<sup>2</sup> R 1.76.						
15. A	A substitute specification.							
16. 🗌 A	A power of attorney and/or change of a	ddress letter.						
	computer-readable form of the seque	ence listing in accordance with PCT Rule 1	13ter.2 and 37 CFR 1.821- 1.825.					
18. 🗶 A	second copy of the published Internat	tional Application under 35 U.S.C. 154(d)	(4).					
	second copy of the English language	translation of the international application	n-under 35 U.S.C. 154(d)(4).					
20. 🗶 O	ther items or information: Internation	nal Search Report and Written Op	oinion					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC09 Rec'd PCT/PTO 16 JUN 2005

PTO-1390 (Rev. 02-2005)

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U.S. APPLICATI	ON NO. (if know	n, see 37 CFR 1.5	* I		ATTORNEY'S DOO	CKET NUMBER	
10/53964 B PCT/US04/01584			2836-USP-PCT-US				
The following fees have been submitted					CALCULATIONS \$	PTO USE ONLY	
21. 🗷 Basid	21. A Basic national fee\$300						
If International pr	(1)-(4)	\$ 200					
Search fee (37 C	nal Searching Au rch Report prepar	\$ 100					
	TOTAL OF 21, 2	\$ 600.00	<u> </u>				
sequence lis	e for specification ting or computer 250 for each addi						
Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)	RATE			
33 - 100 =	/50 =	:		× \$250	\$ 0		
Surcharge of \$13 claimed priority d	0.00 for furnishing ate (37 CFR 1.49	g the oath or declar 2(h)).	aration later than 30 months f	rom the earliest	\$		
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$	<u> </u>	
Total claims	34	- 20 =	14	× \$ 50	\$ 700		
Independent clain	ns 2	- 3 =	0	× \$200	\$ 0	<u> </u>	
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$		
				CALCULATIONS =	\$ 1,300.00		
Applicant clai	ms small entity s	tatus. See 37 CFF	R 1.27. Fees above are reduced	ced by 1/2.			
				SUBTOTAL =	\$ 1,300.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							
			TOTAL	NATIONAL FEE =	\$ 1,300.00		
Fee for recording by an appropriate		\$ 40.00					
			TOTAL F	EES ENCLOSED =	\$ 1,340.00		
	- · · ·				Amount to be refunded:	\$	
					Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 01-2215 in the amount of \$ 1,340.00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2215. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Kenneth K. Vu							
Applied Med 22872 Aven	lical Resourc	K. VU	<u>-</u>				
Rancho San							
		N NUMBER					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Charles C. Hart		) Customer No. 21378		
Serial No.: Filed: For INTER	To be Assigned Herewith RNAL TISSUE RETRACTOR	<ul> <li>National Phase of International Application:</li> <li>PCT/US04/01584</li> <li>International Filing Date:</li> <li>January 20, 2004</li> <li>Priority Date:</li> <li>January 24, 2003</li> </ul>		
	AIL MAILING LABEL NO. <u>EV 38</u>	4057671 US		

I hereby certify that the following documents, as identified below, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- Transmittal Letter to the U.S. Designated/Elected Ofice (DO/EO/US) concerning a Filing Under §35 U.S.C. 371;
- 2. Copies of the PCT Request, International Publications A2 & A3, and Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration'
- 3. Specification including Abstract (22 pages and 34 claims);
- 4. Formal Drawings (11 pages, Figures 1A-25)
- 5. Executed Declaration;
- 6. Assignment Recordation Cover Sheet;
- 7. Assignment;
- 8. Information Disclosure Form (PTO/US08a)
- 9. Return-receipt postcard; and

Each of the above-identified documents is enclosed herewith.

Respectfully submitted,
Saulaca Shoson

Barbara Johnson 4

**Applied Medical Resources Corporation** 

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